

DECODING CHALLENGE

ASSESSING THE PRACTICAL HARDNESS OF SYNDROME
DECODING FOR CODE-BASED CRYPTOGRAPHY

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FEBRUARY 27, 2020



ALL YOU EVER WANTED TO KNOW ABOUT CODE-BASED CRYPTO

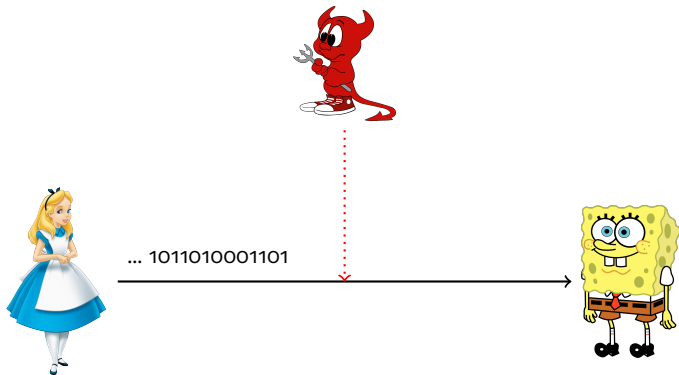
PUBLIC KEY CRYPTOGRAPHY



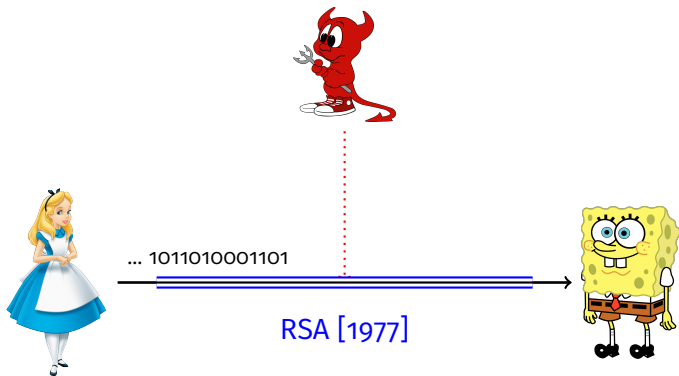
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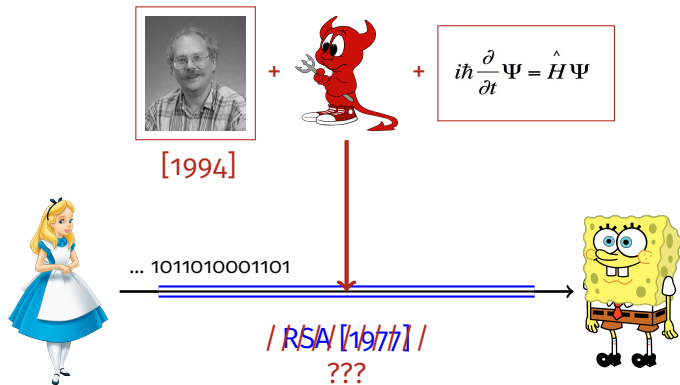
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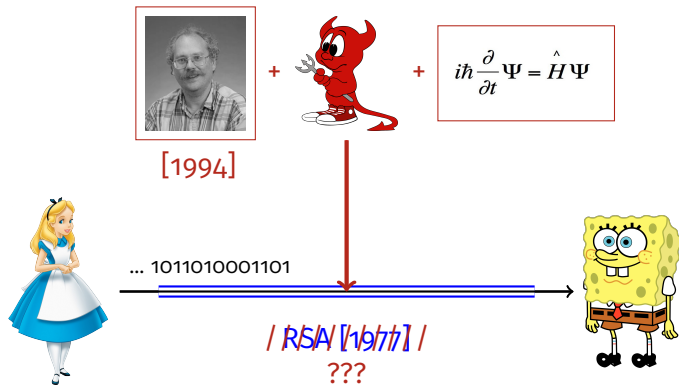
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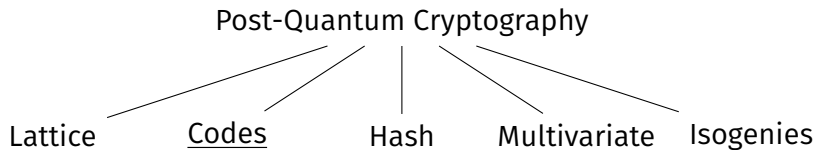
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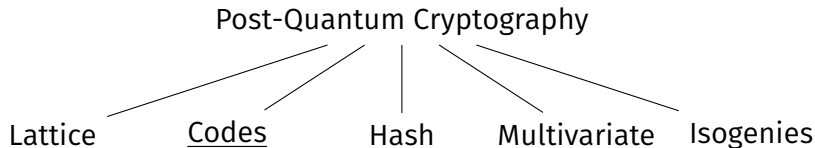


PUBLIC KEY CRYPTOGRAPHY



NIST





1978, Robert McEliece: [McE78]

A Public-Key Cryptosystem Based On Algebraic Coding Theory

R. J. McEliece
Communications Systems Research Section

Using the fact that a fast decoding algorithm exists for a general Goppa code, while no such exists for a general linear code, we construct a public-key cryptosystem which appears quite secure while at the same time allowing extremely rapid data rates. This kind of cryptosystem is ideal for use in multi-user communication networks, such as those envisioned by NASA for the distribution of space-acquired data



Definition (Code)

An $[n, k]_{\mathbb{F}_q}$ linear **code** \mathcal{C} is a linear subspace of \mathbb{F}_q^n of dimension k .

Definition (Decoder)

A **decoder** for the code \mathcal{C} is a function

$$\Phi_{\mathcal{C}} : \mathbb{F}_q^n \rightarrow \mathcal{C} \cup \{?\}.$$

We say that $\Phi_{\mathcal{C}}$ can decode up to t errors if

$$\forall c \in \mathcal{C}, \forall e \in \mathbb{F}_q^n, \quad |e| \leq t \quad \Rightarrow \quad \Phi_{\mathcal{C}}(c + e) = c.$$

Definition (Generator matrix)

A **generator matrix** of a code \mathcal{C} is a matrix $\mathbf{G} \in \mathbb{F}_q^{k \times n}$ such that:

$$\mathcal{C} = \{\mathbf{xG} \mid \mathbf{x} \in \mathbb{F}_q^k\}.$$

Definition (Parity-check matrix)

A **parity-check matrix** of a code \mathcal{C} is a matrix $\mathbf{H} \in \mathbb{F}_q^{(n-k) \times n}$ such that:

$$\mathcal{C} = \{\mathbf{y} \in \mathbb{F}_q^n \mid \mathbf{Hy}^T = \mathbf{0}\}.$$

Example (Repetition Code)

$$\begin{array}{lcl} \mathbb{F}_2 & \rightarrow & \mathbb{F}_2^3 \\ 0 & \mapsto & (0,0,0) \\ 1 & \mapsto & (1,1,1) \end{array}$$

Example (Decoder)

```
if |x| <= 1:
    return 0
else:
    return 1
```

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$$G = (1 \ 1 \ 1)$$

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Main idea: how hard is it to decode up to t errors?

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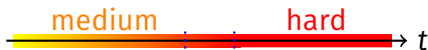
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easy = in polynomial time (with trap)

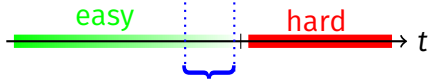
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Recipe:

KeyGen()

$$\mathbf{G}_{\text{sk}} \xleftarrow{\$} \mathcal{F}$$
$$\mathbf{G}_{\text{pk}} \leftarrow \text{Shake}(\mathbf{G}_{\text{sk}})$$

Enc(m)

$$e \xleftarrow{\$} \mathbb{F}_q^n, \text{ s.t. } |e| = t$$
$$c \leftarrow m\mathbf{G}_{\text{pk}} + e$$

Dec(c)

$$m \leftarrow \Phi_{\mathcal{F}}(\mathbf{G}_{\text{sk}}, c)$$

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The key to success:

- choose t s.t. it is **hard** to decode t errors for a random code;
- $\Phi_{\mathcal{F}}$ needs the structured version of the code to be efficient;
- the shaker shakes well enough!

How could Eve break this scheme? 2 possibilities:

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Remark: Hypothesis 1 depends on the choice of the family of codes \mathcal{F} and the shaker, while Hypothesis 2 is generic!

- Examples of choices of \mathcal{F} :
 - ▶ Goppa codes [Original McEliece];
 - ▶ Reed Solomon codes [Nie86] (broken by [SS92]);
 - ▶ QC-MDPC codes [BIKE];
 - ▶ Rank-based codes [ROLLO].

SOME EXAMPLES

■ Examples of choices of \mathcal{F} :

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■ Examples of shakers:

- ▶ row scrambler;
- ▶ columns isometry (permutation);
- ▶ subfield subcode;
- ▶ adding random columns...



SYNDROME DECODING

Let \mathcal{C} be an $[n, k]$ linear code of parity-check matrix \mathbf{H} .
Let $y \in \mathbb{F}_q^n$ and $s = y\mathbf{H}^T \in \mathbb{F}_q^k$ (the **syndrome** of y).
The following problems are equivalent.

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The Syndrome Decoding Problem - $SD(q, n, R, W)$

Instance: $\mathbf{H} \in \mathbb{F}_q^{(n-k) \times n}$,
 $s \in \mathbb{F}_q^{n-k}$.

Output: $e \in \mathbb{F}_q^n$ such that $|e| = w$ and $e\mathbf{H}^T = s$,

where $k \triangleq \lceil Rn \rceil$, $w \triangleq \lceil Wn \rceil$.

Theorem (NP-completeness)

The Syndrome Decoding problem is NP-complete. [BMvT78]

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Conjecture (average case)

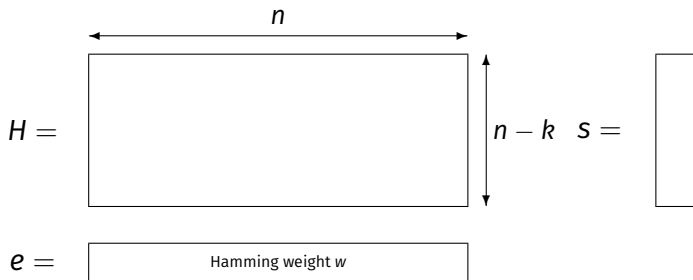
Decoding n^ϵ errors is hard on average $\forall \epsilon > 0$. [Ale11]

BINARY SYNDROME DECODING PROBLEM

From now on, we focus on the binary case $q = 2$.

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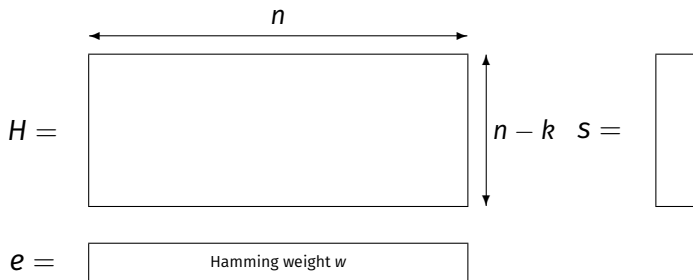
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The next slides of this section are reproduced from Nicolas Sendrier's MOOC "Code Based Cryptography" with his authorization.

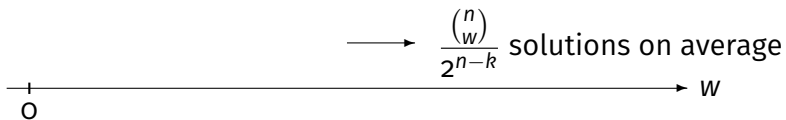
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Fix n and k , let w grow:



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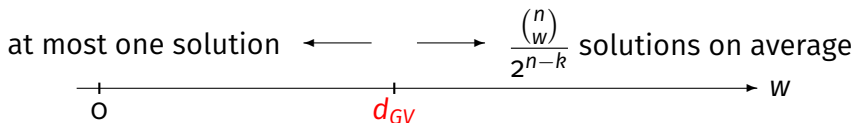
Fix n and k , let w grow:

at most one solution \longleftarrow \longrightarrow $\frac{\binom{n}{w}}{2^{n-k}}$ solutions on average

0 w

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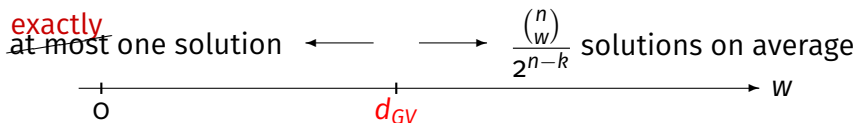
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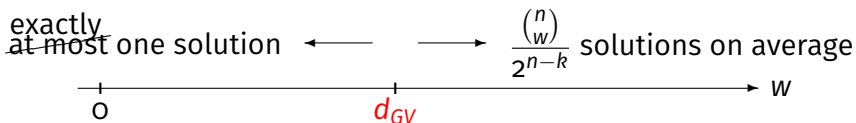


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In cryptanalysis, we only consider situations where there is a solution.

We expect $\approx \max(1, \binom{n}{w}/2^{n-k})$ solutions.

EXHAUSTIVE SEARCH

Problem:

find w columns of H
adding to s (modulo 2)

$$H = \begin{array}{|c|} \hline \begin{array}{cccc} h_1 & h_2 & \cdots & h_n \end{array} \\ \hline \end{array} \quad \begin{array}{l} \xleftarrow{n} \\ \xrightarrow{n-k} \end{array} \quad s = \begin{array}{|c|} \hline \\ \hline \end{array}$$

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Enumerate all w -tuples (j_1, j_2, \dots, j_w) such that

$$1 \leq j_1 < j_2 < \dots < j_w \leq n.$$

Check whether

$$s + h_{j_1} + h_{j_2} + \dots + h_{j_w} = 0.$$

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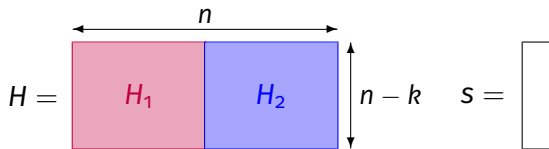
Cost: about $\binom{n}{w}$ column operations.

Remark: we obtain **all** solutions.

BIRTHDAY ALGORITHM

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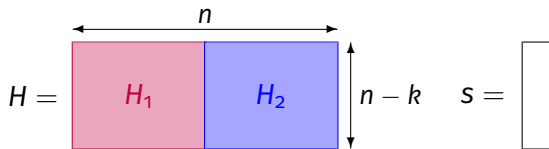
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Idea: Split H into two equal parts and enumerate the two following sets

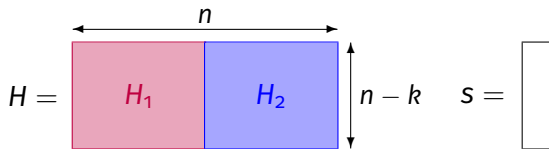
$$\mathcal{L}_1 = \left\{ e_1 H_1^T, |e_1| = \frac{w}{2} \right\} \text{ and } \mathcal{L}_2 = \left\{ s + e_2 H_2^T, |e_2| = \frac{w}{2} \right\}$$

If $\mathcal{L}_1 \cap \mathcal{L}_2 \neq \emptyset$, we have solution(s): $s + e_1 H_1^T + e_2 H_2^T = 0$

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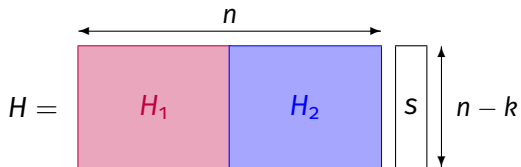
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Cost: Requires about $2L + L^2/2^{n-k}$ column operations,
where $L = |\mathcal{L}_1| = |\mathcal{L}_2|$

BIRTHDAY ALGORITHM

Compute $\mathcal{L}_1 \cap \mathcal{L}_2 = \{e_1 H_1^T \mid \|e_1\| = \frac{w}{2}\} \cap \{s + e_2 H_2^T \mid \|e_2\| = \frac{w}{2}\}$

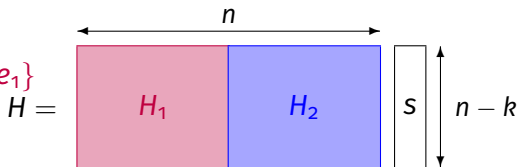


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for all e_1 of weight $w/2$

$x \leftarrow e_1 H_1^T$; $T[x] \leftarrow T[x] \cup \{e_1\}$



Total cost: $\binom{n/2}{w/2}$

$|\mathcal{L}_1|$

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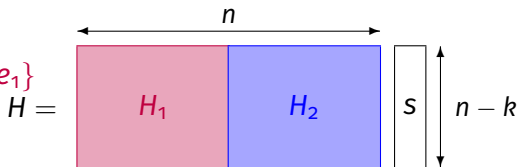
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for all e_2 of weight $w/2$

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 $|\mathcal{L}_1| \quad |\mathcal{L}_2|$

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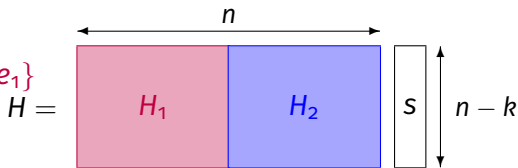
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for all $e_1 \in T[x]$

$\mathcal{I} \leftarrow \mathcal{I} \cup \{(e_1, e_2)\}$



$$\text{Total cost: } \underbrace{\binom{n/2}{w/2}}_{|\mathcal{L}_1|} + \underbrace{\binom{n/2}{w/2}}_{|\mathcal{L}_2|} + \frac{\binom{n/2}{w/2}^2}{2^{n-k}} = \frac{|\mathcal{L}_1| \cdot |\mathcal{L}_2|}{2^{n-k}}$$

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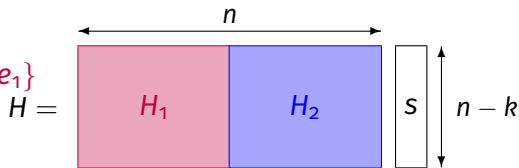
for all e_2 of weight $w/2$

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return \mathcal{I}



$$\text{Total cost: } \underbrace{\binom{n/2}{w/2}}_{|\mathcal{L}_1|} + \underbrace{\binom{n/2}{w/2}}_{|\mathcal{L}_2|} + \frac{\binom{n/2}{w/2}^2}{2^{n-k}} = \frac{|\mathcal{L}_1| \cdot |\mathcal{L}_2|}{2^{n-k}}$$

One particular error of Hamming weight w splits evenly with probability

$$\mathcal{P} = \frac{\binom{n/2}{w/2}^2}{\binom{n}{w}}$$

BIRTHDAY ALGORITHM

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or more generally by picking the two halves **randomly**

Repeat $1/\mathcal{P}$ times to get most solutions. **Cost:** $O\left(\sqrt{\binom{n}{w}}\right)$.

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For any invertible $U \in \{0, 1\}^{(n-k) \times (n-k)}$ and any permutation matrix $P \in \{0, 1\}^{n \times n}$

$$(eH^T = s) \Leftrightarrow (e'H'^T = s') \text{ where } \begin{cases} H' \leftarrow UHP \\ s' \leftarrow sU^T \\ e' \leftarrow eP. \end{cases}$$

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$$\begin{aligned} \text{Proof: } e'H'^T &= (eP)(UHP)^T \\ &= (eP)P^T H^T U^T \\ &= eH^T U^T \\ &= sU^T \\ &= s'. \end{aligned}$$

Idea: Perform a Gaussian Elimination and hope that all the errors are in positions corresponding to the identity part!

$$H' = UHP = \left[\begin{array}{c|c} \begin{array}{c} 1 \\ \diagdown \\ 1 \end{array} & \square \\ \hline & \square \end{array} \right] \quad \text{and } s' = sU^T = \left[\begin{array}{c} \square \\ \square \\ \square \end{array} \right]$$

PRANGE'S ALGORITHM

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$$H' = UHP = \left[\begin{array}{c|c} \begin{matrix} 1 & & & \\ & \diagdown & & \\ & & & \\ & & & 1 \end{matrix} & \\ \hline & \end{array} \right] \quad \text{and } s' = sU^T = \left[\begin{array}{c} \\ \\ \\ \end{array} \right]$$

$\underbrace{\hspace{10em}}_{n-k}$

possible if the first $n-k$ columns of HP are independent

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PRANGE'S ALGORITHM

REPEAT:

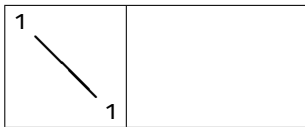
1- Pick a permutation matrix P

PRANGE'S ALGORITHM

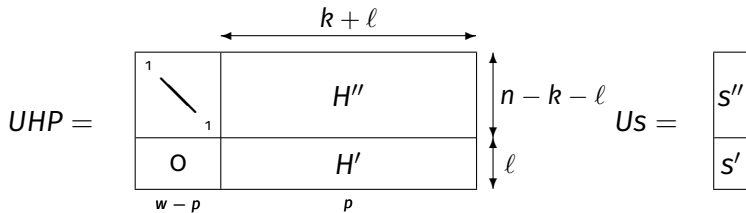
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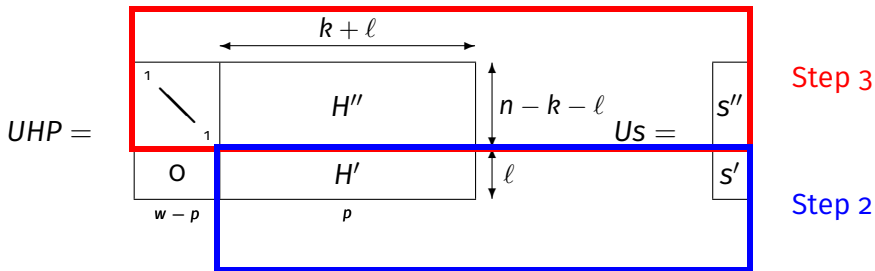
2- Compute $UHP =$



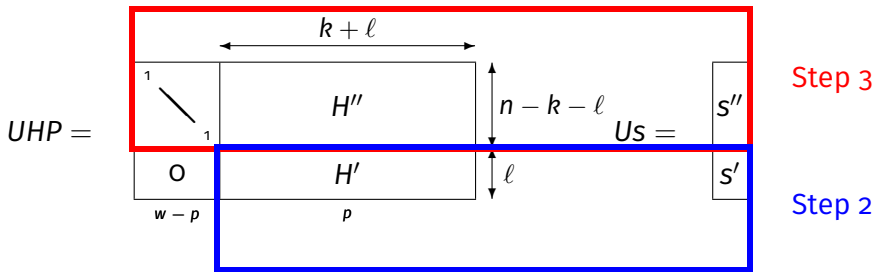
STERN AND DUMER'S ALGORITHM



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- Repeat: {
1. Permutation + partial Gaussian elimination
 2. Find many e' such that $|e'| = p$ and $H'e' = s'$
 3. For all good e' , test $|s'' + H''e'| \leq w - p$

Step 2 is Birthday Decoding (or whatever is best);

Step 3 is (a kind of) Prange;

Total cost is minimized over ℓ and p .

Iteration cost: $\mathcal{K} = n(n - k - \ell) + 2\sqrt{\binom{k+\ell}{p}} + \frac{\binom{k+\ell}{p}}{2^\ell} + \frac{\binom{k+\ell}{p}}{2^\ell}$

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- Improved Birthday Decoding: overlapping support.
- Representations.
- Recursive Birthday Decoding.
- Decoding One Out of Many.
- Nearest Neighbour approach.

■ Theoretical asymptotic exponent

Best algorithm solves $SD(n, W, R)$ in $2^{c \cdot n}$ operations with

| | | |
|------|-------------|----------------|
| 1962 | $c = 0.121$ | [Pra62] |
| 1988 | $c = 0.117$ | [Ste88, Dum89] |
| 2011 | $c = 0.112$ | [MMT11] |
| 2012 | $c = 0.102$ | [BJMM12] |
| 2017 | $c = 0.095$ | [MO15, BM17] |
| 2018 | $c = 0.089$ | [BM18] |

for $w = d_{GV}$ and worst choice of k .

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■ **Practical** complexity?

THE DECODING CHALLENGE

Welcome to the code-based challenges webpage!

The purpose of this webpage is to assess the **practical hardness** of problems in coding theory.

The Challenges

The following challenges are currently available.

Generic problems. First we consider the two main problems on which Hamming-weight code-based cryptography mainly relies.

> Challenge: Syndrome Decoding

Given a matrix $\mathbf{H} \in \mathbb{F}_2^{(n-k) \times n}$, a vector $\mathbf{s} \in \mathbb{F}_2^{n-k}$ and an integer $w \leq n$, find a vector $\mathbf{e} \in \mathbb{F}_2^n$ of Hamming weight $|\mathbf{e}| \leq w$ such that $\mathbf{H}\mathbf{e}^T = \mathbf{s}^T$. Here we will focus especially on the case with rate $R = 0.5$ and w close to the **Gilbert-Varshamov bound**.

> Challenge: Finding Low Weight Codewords

In a random linear code of size $n = 1280$ and rate $R = 0.5$, there exists on average a unique codeword of weight 144 (the **Gilbert-Varshamov bound**) and finding it should require at least 2^{128} operations with the best known algorithms. Finding words of higher weight is easier. The goal of this challenge is to find codewords with a weight as close as possible to the **GV-bound**.

NIST-like problems. We propose challenges with the same parameter settings as the main cryptographic schemes proposed for the **NIST standardization process for post-quantum cryptography**. For now, we propose two such challenges in Hamming metric. In both cases, the goal is to assess the hardness of generic decoding, not to find distinguishers on the codes. Therefore we propose random linear codes with the same rate and error weight as the corresponding **NIST candidates**.

Latest news

20-08-2019. Announcement of the challenge at Crypto 2019 conference

12-08-2019. All challenges are now online

21-07-2019. Website is online.

12-07-2019. Contact email is active.

Launched in August 2019 by Aragon, Lavauzelle and L.

■ **Goal:**

- ▶ assess the practical complexity of problems in coding theory;
- ▶ motivate the implementation of ISD algorithms;
- ▶ increase the confidence in code-based crypto.

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■ **Concept:**

- ▶ 4 categories of challenges;
- ▶ instances of increasing size;
- ▶ a hall of fame.

4 CATEGORIES OF CHALLENGES

■ 2 generic problems

- ▶ Syndrome Decoding $k/n = 0.5$ and $w = d_{GV}$
- ▶ Finding the Lowest Codeword
for $k/n = 0.5$ and n of cryptographic size

■ 2 problems based on schemes in the NIST competition

- ▶ Goppa-McEliece $k/n = 0.8$ and $w = (n - k) / \log_2(n)$
- ▶ QC-MDPC $k/n = 0.5$ and $w = \sqrt{n}$

QUESTIONS RAISED BY IMPLEMENTATION

Based on previous work from Landais, Sendrier, Meurer and Hochbach, and recent work from Vasseur, Couvreur, Kunz and L.

- Choice of parameters $p, \ell, \varepsilon \dots$ must be integers!
- Random shuffle vs. Canteaut-Chabaud.
- Birthday algorithm: sort vs. hash table.
- Allowing overlap?
- Early abort?
- ...

It's not just about asymptotic exponents anymore!

decodingchallenge.org

■ How to contribute?

- ▶ Solve some challenges!
- ▶ Talk about the project to other people.
- ▶ Propose this as a student project.
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Current leader of the Hall of Fame:

Valentin Vasseur, $n = 450$ (for SD)
 $\simeq 2^{47}$ operations (Dumer).

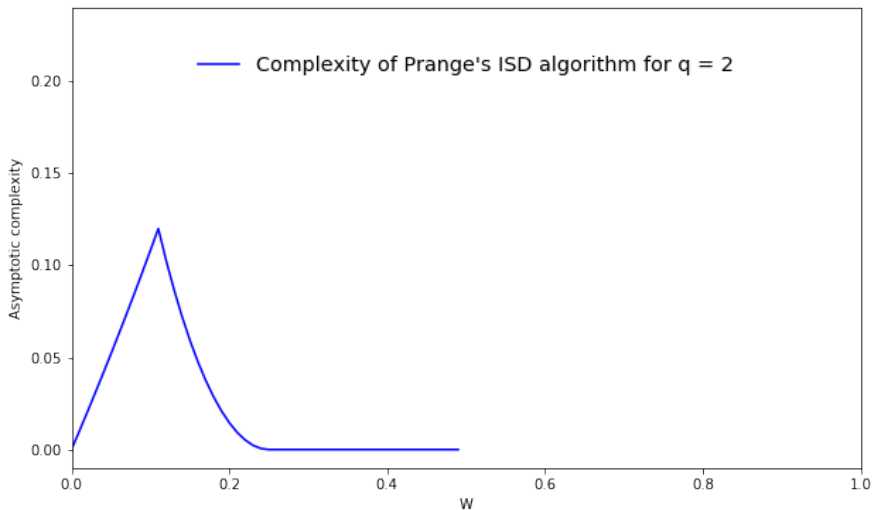
**You dream to read your name in a Hall of Fame?
This is the chance of a lifetime!**

- We intend to propose other categories of challenges
 - ▶ rank-metric Syndrome decoding;
 - ▶ q -ary Syndrome Decoding in Hamming metric;
 - ▶ q -ary Syndrome Decoding in Hamming metric with large weight.

q -ARY SYNDROME DECODING

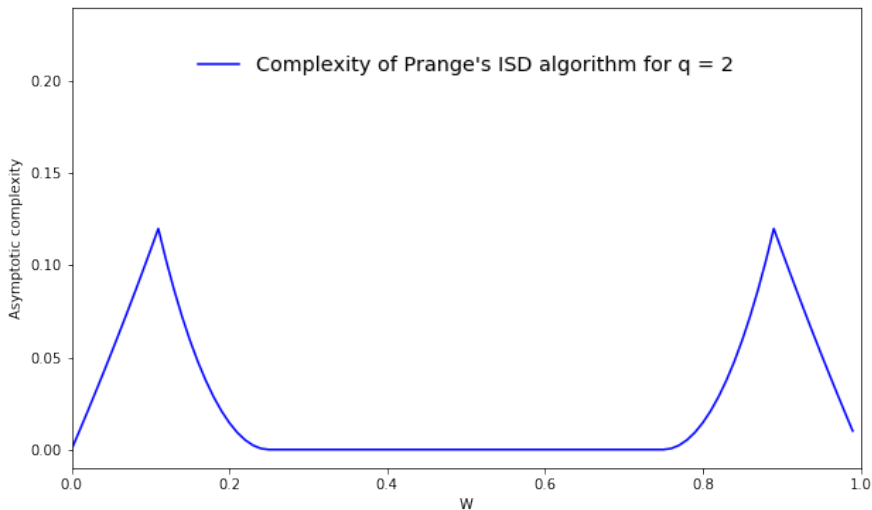
BINARY VS. TERNARY DECODING CHALLENGE

for $R = 1/2$:



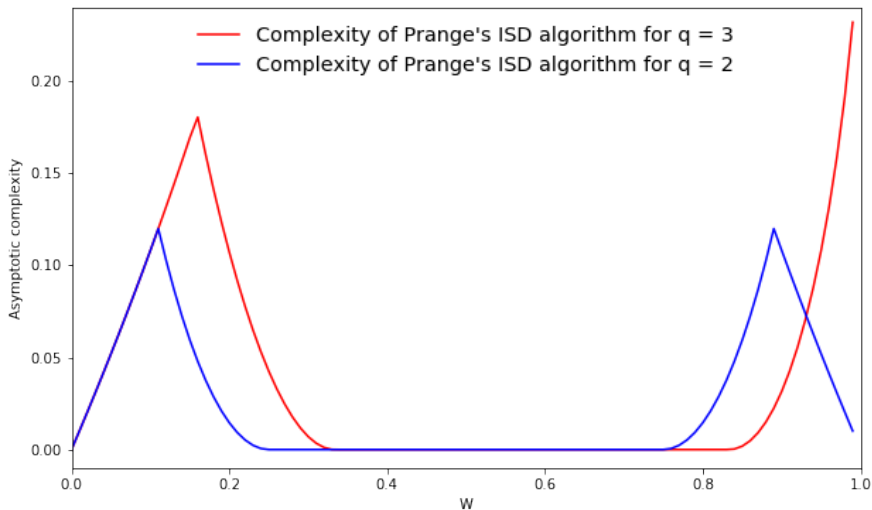
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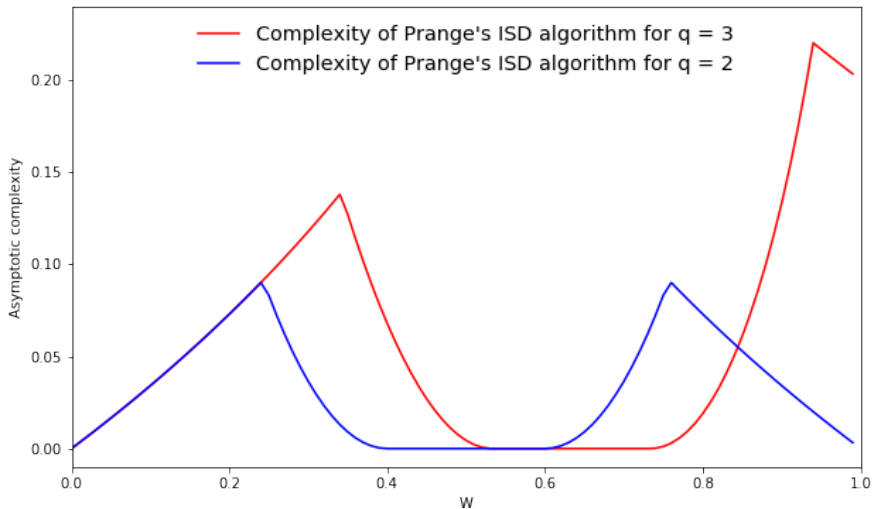
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SOME OBSERVATIONS

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- Worst case complexity for Prange's algorithm is reached for

$$R = 1 - \log_q(q-1) \text{ and } W = 1.$$

for $q = 3$ this is $R = 0.369$.

DOING BETTER THAN PRANGE?

“Ternary Syndrome Decoding with Large Weight”,
Bricout, Chailloux, Debris-Alazard and L., SAC 2019

- Motivation: Wave signature scheme [DST19].

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Our problem is now the modular knapsack problem!

Given $k + \ell$ vectors $\mathbf{h}_i \in \mathbb{F}_3^\ell$ and a target vector $\mathbf{s} \in \mathbb{F}_3^\ell$,
find L solutions of the form $(b_1, \dots, b_{k+\ell}) \in \{0, 1\}^{k+\ell}$
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This can be solved using Wagner’s algorithm [Wago2].

WAGNER'S ALGORITHM

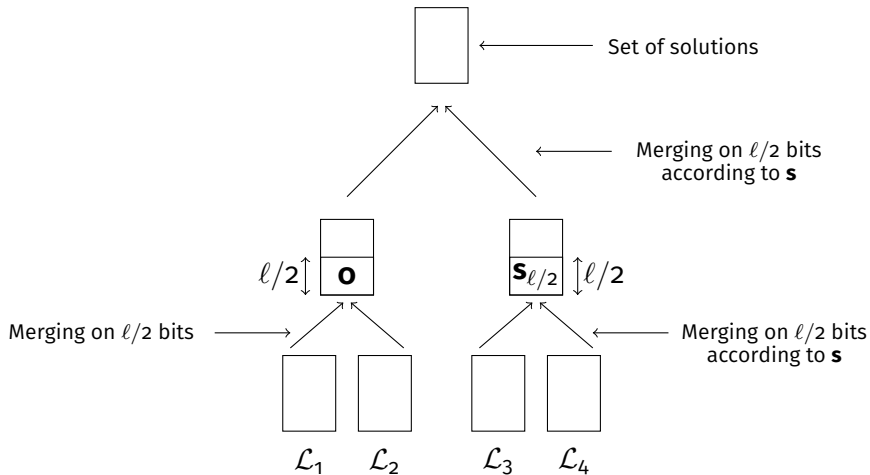


Figure: Wagner's algorithm with $a = 2$.

- Using Wagner's algorithm with a floors and $L = 3^{\ell/a}$ solutions can be solved in amortize time $O(3^{\ell/a})$.

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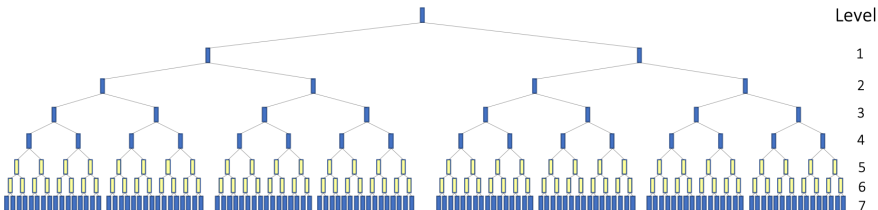
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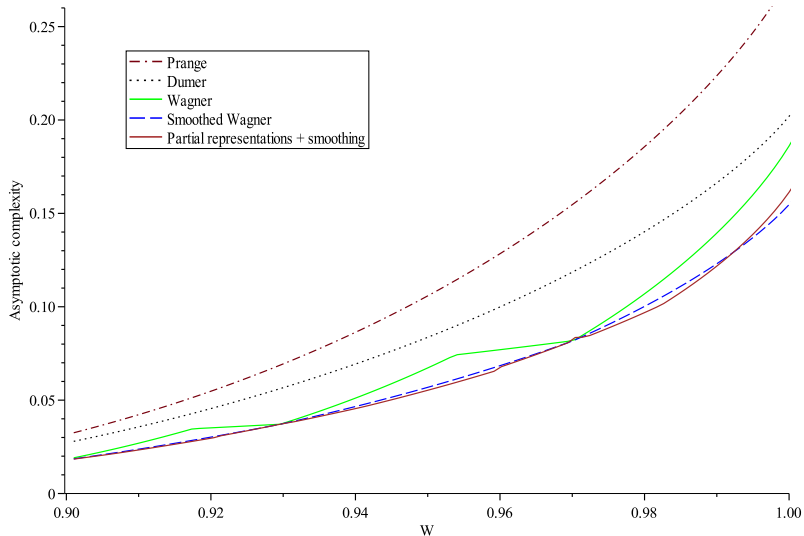
Remark: When $q \rightarrow \infty$, all ISD algorithm become equivalent to Prange's algorithm [Can17].

OUR ALGORITHM [BCDL19]

- 7 floors
- Blue = “left-right” splits (no representations)
- Yellow = representations
- Badly-formed elements at floor 4 and 5



RESULTS ($R = 0.5$) [BCDL19]



HARDEST INSTANCES FOR $q = 3$ [BCDL19]

| Algorithm | $q = 2$ | $q = 3$ and $W > 0.5$ |
|--------------------|-----------------------|-----------------------|
| Prange | 0.121 ($R = 0.454$) | 0.369 ($R = 0.369$) |
| Dumer/Wagner | 0.116 ($R = 0.447$) | 0.269 ($R = 0.369$) |
| BJMM/our algorithm | 0.102 ($R = 0.427$) | 0.247 ($R = 0.369$) |

Table: Best exponents with associated rates.

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Table: Best exponents with associated rates.

| Algorithm | $q = 2$ | $q = 3$ and $W > 0.5$ |
|--------------------|---------|-----------------------|
| Prange | 275 | 44 |
| Dumer/Wagner | 295 | 83 |
| BJMM/Our algorithm | 374 | 99 |

Table: Minimum input sizes (in kbits) for a time complexity of 2^{128} .

CONCLUDING REMARKS

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Thank you for your attention!



MICHAEL ALEKHOVICH.

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DAVID WAGNER.

A GENERALIZED BIRTHDAY PROBLEM.

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